



## Details of the Scheme

The Scheme is the Aspiring Fund, which is a Managed Investment Scheme. The Manager of the Scheme is Aspiring Asset Management Limited. The supervisor of the Scheme is New Zealand Guardian Trust Limited.

The Product Disclosure Statement, dated the 23<sup>rd</sup> day of September 2022, is open for applications.

The latest fund update, dated 30<sup>th</sup> day of June 2023, was lodged with the Registrar on the 11<sup>th</sup> day of July 2023.

The Scheme's latest financial statements dated the 31<sup>st</sup> March 2023, and the auditor's report, dated the 11<sup>th</sup> July 2023, were lodged with the Registrar on the 18<sup>th</sup> day of July 2023.

## Description of the Scheme

The Fund principally invests in listed equities, although it may invest in any asset class (including investments in unlisted entities, underwriting arrangements, debt securities, and property).

The Scheme was established by a master trust deed and an establishment deed, both dated the 17<sup>th</sup> of September 2015.

## Information on the Composition of the Scheme

This Annual Report covers the accounting period from the 1<sup>st</sup> of April 2022 to the 31<sup>st</sup> of March 2023.

The number of managed investment products on issue at the start, and end of the accounting period was 1.

## Changes relating to the Scheme

The Scheme transitioned to the Financial Markets Conduct Act 2013 (FMCA) on the 17<sup>th</sup> of September 2015.

There have been no material changes to the nature of the Scheme, the investment objectives and strategy, or the management of the Scheme over the accounting period.

There have been no related party transactions (as defined in section 173 of the FMCA) entered into during the accounting period.

## Other Information

The unit price for the fund at the start of the accounting period was \$5.1026. (1<sup>st</sup> April 2022)

The unit price for the fund at the end of the accounting period was \$5.1499 (31<sup>st</sup> March 2023)

## Changes to Persons involved in the Scheme

There have been no changes to persons involved in the Scheme.

## How to find further information

Copies of documents relating to the Scheme, including the Scheme's Deeds, Statement of Investment Policy and Objectives, and the Annual Financial Statements are available on the Disclose Register at [www.business.govt.nz/disclose](http://www.business.govt.nz/disclose), Scheme number SCH10272, or on request from the manager, free of charge, using the following contact details:

Attention: Marian Crookbain  
Email: [mcrookbain@aaml.co.nz](mailto:mcrookbain@aaml.co.nz)  
Phone: (09) 302 0496

## Contact details and complaints

### Manager:

Aspiring Asset Management Limited  
Vero Centre  
Level 23, 48 Shortland Street  
Auckland, 1010  
PO Box 106 963  
Auckland 1143  
Attention: Marian Crookbain  
Phone: (09) 302 0496

### Supervisor:

The New Zealand Guardian Trust Company Limited  
Level 14, 191 Queen St  
Auckland, 1010  
Telephone (09) 909 5100

### Unit registrar:

Apex Investment Administration (NZ) Limited  
Level 25, WBE Centre  
125 Queen Street  
Auckland, 1010  
PO Box 106 039  
Attention: Nicola Tait  
Phone: (09) 557 1484

### Complaints can be made to:

Aspiring Asset Management Limited  
Vero Centre  
Level 23, 48 Shortland Street  
Auckland, 1010  
PO Box 106 963  
Auckland 1143

Attention: Marian Crookbain  
Phone: (09) 302 0495

or to:

The New Zealand Guardian Trust Company Limited  
Level 14, 191 Queen St

Auckland, 1010  
Telephone (09) 909 5100

As a financial service provider registered under the Financial Service Providers (Registration and Dispute Resolution) Act 2008, we are a member of an approved dispute resolution scheme (registration number FSP3141). The scheme is operated by Financial Services Complaints Limited (FSCL).

FSCL's contact details are:  
Financial Services Complaints Limited  
Level 4, 101 Lambton Quay  
PO Box 5967  
Wellington  
Telephone: 0800 347257  
Website: [www.fscl.org.nz](http://www.fscl.org.nz)  
Email: [info@fscl.org.nz](mailto:info@fscl.org.nz).

There is no charge to any complainant for the investigation or resolution of a complaint.